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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

| | | |
|---|--|--|
| Attorney Docket No. | LIGHT2140 | C/M # 2101501-165402 |
| First Inventor or Application Identifier: | CHI WU | |
| Title: | Method of Forming an Optical Component | |
| Express Mail Label No.: | EL617045299US | |
| Application Elements <small>(See MPEP chapter 600 concerning utility patent application contents)</small> | | ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231 |
| <p>1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, & duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>25</u>] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> • Descriptive title of the Invention • Cross References to Related Applications • Statement Regarding Fed sponsored R&D • Background of the Invention • Brief Summary of the Invention • Brief Description of the Drawings <i>(if filed)</i> • Detailed Description • Claim(s) • Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>13</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> Deletion of Inventor(s) <small>Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies </p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment (<u> </u> pgs.)</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input checked="" type="checkbox"/> Express Mail Certification</p> <p>17. <input type="checkbox"/> OTHER: Check # _____ (\$ _____)</p> | | |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-In-Part of prior application no.: _____

Prior application information: Examiner: _____ Group/Art Unit: _____

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| NAME GRAY CARY WARE & FREIDENRICH | | | |
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| Name (print/type) | | Registration No.: (Attorney/Agent) 38,631 | |
| Signature | | Date | July 2001 |

DATE MAILED: **11 JULY 2001**

PATENT ATTORNEY DOCKET NO.: LIGHT2140

The Patent and Trademark Office date stamp sets forth the receipt date of:

Applicant or Patentee:

CHI WU

Filing Date: Herewith

Serial No.:

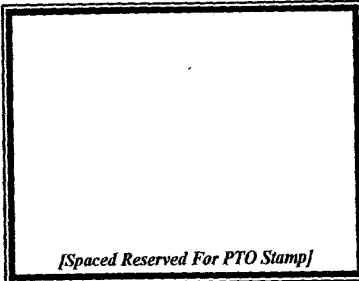
Unknown

Title:

METHOD OF FORMING AN OPTICAL COMPONENT

UTILITY PATENT APPLICATION

1. Utility Patent Application Transmittal Form (1 pg);
2. Utility Patent Specification (25 pgs);
3. Drawings (13 Sheets);
4. Declaration for Patent Application (3 pgs) (unsigned);
5. Express Mail Certification; and
6. Return Postcard



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MIKHAIL BAYLEY

NAME

Mikhail Bayley

SIGNATURE